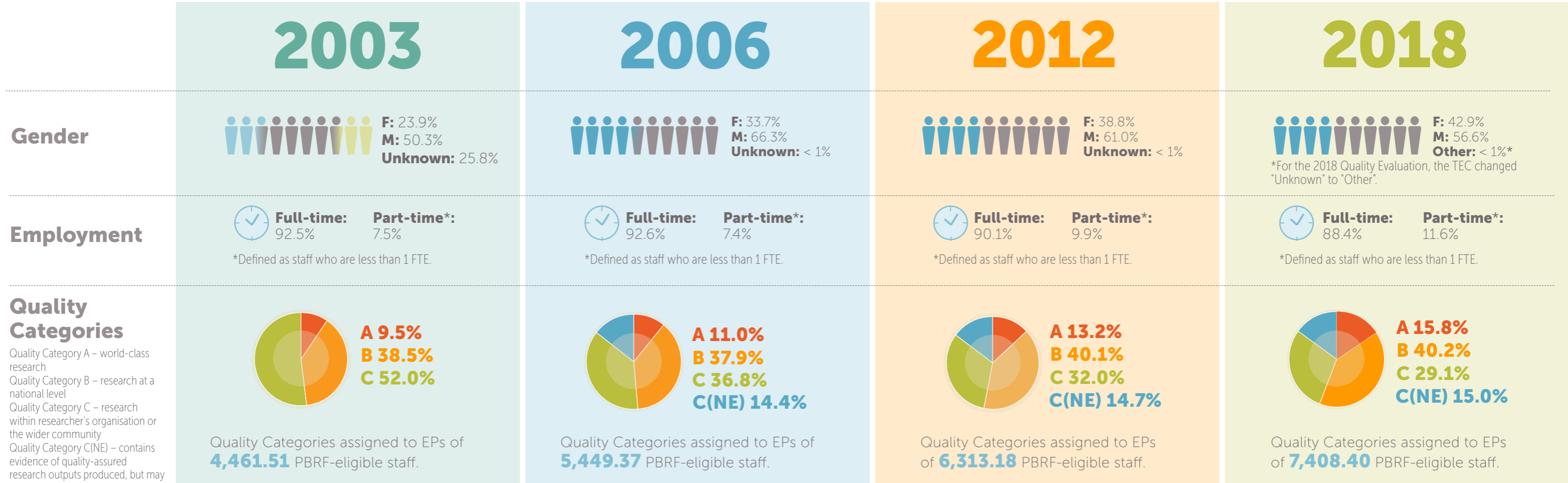


Quality Evaluation Results

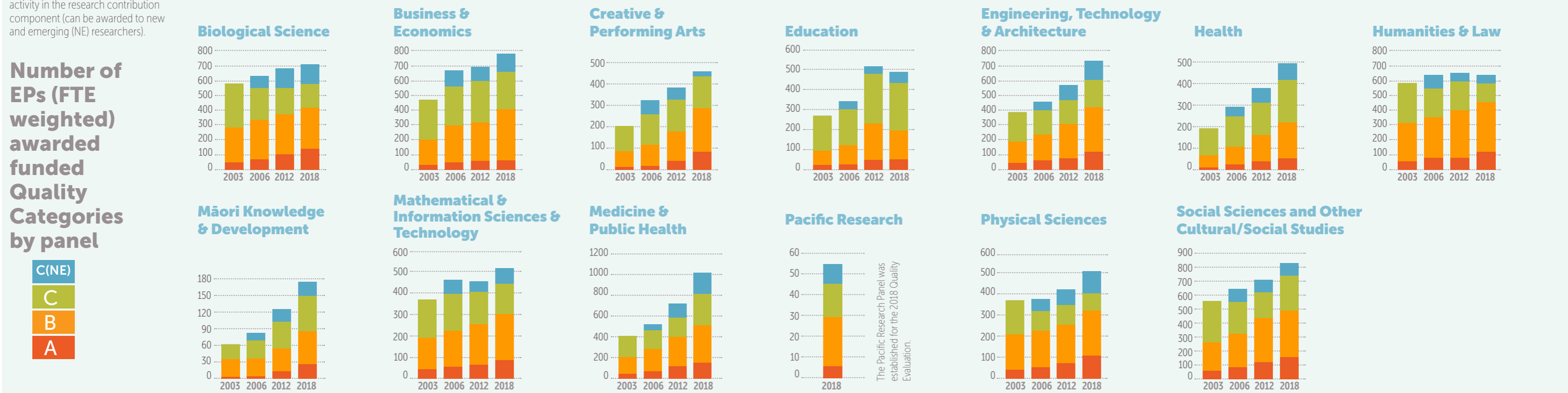
The Performance-Based Research Fund (PBRF) encourages and rewards the breadth and diversity of research excellence and its role in supporting and developing New Zealand and our tertiary education sector. The PBRF Quality Evaluation is an assessment of the research performance of staff through Evidence Portfolios (EPs) at participating tertiary education organisations and is the major component of the PBRF.

The PBRF allocated \$315 million for 2019

55% of the fund is allocated through the Quality Evaluation results



Number of EPs (FTE weighted) awarded funded Quality Categories by panel



The Pacific Research Panel was established for the 2018 Quality Evaluation.

2003

2006

2012

2018

Key changes in the four Quality Evaluations

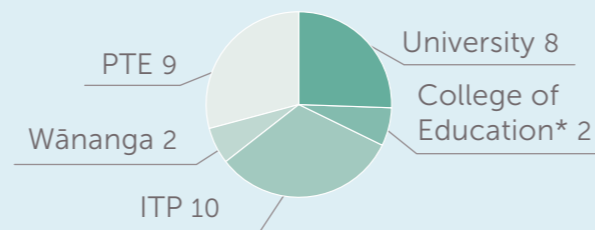
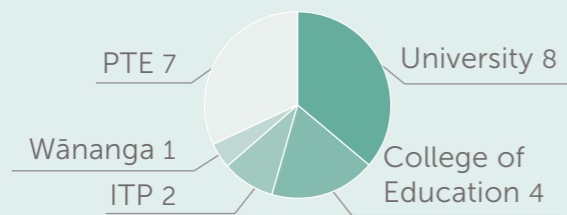
- Developed in 2002, the PBRF has three components: the Quality Evaluation, a peer-review process, and two performance indicators, Research Degree Completion and External Research Income.
- Designed to encourage quality and replace a 'research top-up' system based on learner enrolment.

- Conducted as a partial round, PBRF-eligible staff who participated in the 2003 Quality Evaluation had the option of carrying over their Quality Category scores to the 2006 round.
- Allocation of research funding via the PBRF reached 100% in 2007. This was the final transition from research top-ups to the PBRF.
- Introduction of new and emerging researcher category.

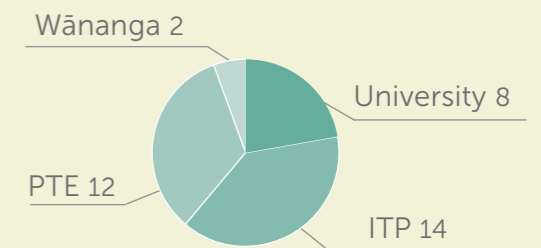
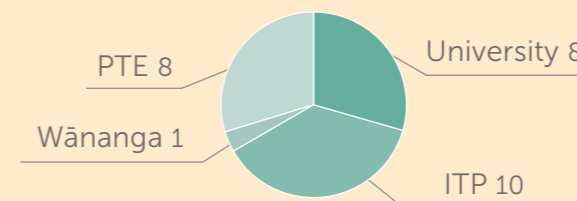
- Introduction of Pacific Expert Advisory Group and Professional and Applied Research Expert Advisory Group to provide advice on EP assessment.
- Establishment of the Canterbury earthquakes special circumstances and appointment of a special advisor.
- Introduction of an online system for submission and assessment, which allowed greater efficiency and increased the examination of research outputs.

- Inaugural Pacific Research Panel and disestablishment of both the Pacific Expert Advisory Group and Professional and Applied Research Expert Advisory Group.
- Change from special circumstances to extraordinary circumstances with two types: Canterbury and general.
- Combination of Peer Esteem and Contribution to the Research Environment components into a single Research Contribution component. Reduction in the total numbers of other research outputs (OROs) to 12 and research contributions to 15.

Types of TEOs participating in each Quality Evaluation



*Provision was made for the separate reporting of the former Auckland and Wellington colleges of education.



Quality Evaluations at a glance

2003	Number/Percentage
Evidence Portfolios (EPs) received	5,771
Nominated Research Outputs (NROs)	22,583
Other Research Outputs (OROs)	102,921
NROs examined	29%
Average number of research outputs (NROs and OROs) per EP	22
Average number of Peer Esteem entries per EP	10
Average number of Contribution to the Research Environment per EP	9
EPs claiming special circumstances	75%

2006	Number/Percentage
Evidence Portfolios (EPs) received	4,532
Nominated Research Outputs (NROs)	17,908
Other Research Outputs (OROs)	72,378
NROs examined	59%
Average number of research outputs (NROs and OROs) per EP	19
Average number of Peer Esteem entries per EP	14
Average number of Contribution to the Research Environment per EP	13
EPs claiming special circumstances	59%

2012	Number/Percentage
Evidence Portfolios (EPs) received	7,334
Nominated Research Outputs (NROs)	29,332
Other Research Outputs (OROs)	134,970
NROs examined	80%
Average number of research outputs (NROs and OROs) per EP	22
Average number of Peer Esteem entries per EP	17
Average number of Contribution to the Research Environment per EP	16
EPs claiming special circumstances	37%*

*This includes Canterbury earthquakes special circumstances and other special circumstances.

2018	Number/Percentage
Evidence Portfolios (EPs) received	8,269
Nominated Research Outputs (NROs)	32,930
Other Research Outputs (OROs)	80,291
NROs examined	96%
Average number of research outputs (NROs and OROs) per EP	14
Average number of Research Contribution entries per EP	12
EPs claiming extraordinary circumstances	4%*

* This includes Canterbury and general extraordinary circumstances.

Percentage of Quality Evaluation funding received by subsector

98.2% Universities

1.6% Institutes of Technology and Polytechnics

0.1% Wānanga

0.1% Private Training Establishments

96.6% Universities

3.0% Institutes of Technology and Polytechnics

0.3% Wānanga

0.1% Private Training Establishments

96.6% Universities

3.1% Institutes of Technology and Polytechnics

0.2% Wānanga

0.1% Private Training Establishments

95.7% Universities

3.6% Institutes of Technology and Polytechnics

0.5% Private Training Establishments

0.2% Wānanga

Note: These percentages are based on the interim results.